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**DRAFT NIST Big Data Interoperability
Framework:
Volume 7, Standards Roadmap**

NIST Big Data Public Working Group
Technology Roadmap Subgroup

Draft Version 1
April 6, 2015

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NIST Big Data Public Working Group (NBD-PWG)
Technology Roadmap Subgroup
National Institute of Standards and Technology
Gaithersburg, MD 20899

April 2015



U. S. Department of Commerce
Penny Pritzker, Secretary

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Reports on Computer Systems Technology

The Information Technology Laboratory (ITL) at NIST promotes the U.S. economy and public welfare by providing technical leadership for the Nation's measurement and standards infrastructure. ITL develops tests, test methods, reference data, proof of concept implementations, and technical analyses to advance the development and productive use of information technology (IT). ITL's responsibilities include the development of management, administrative, technical, and physical standards and guidelines for the cost-effective security and privacy of other than national security-related information in Federal information systems. This document reports on ITL's research, guidance, and outreach efforts in IT and its collaborative activities with industry, government, and academic organizations.

Abstract

Big Data is a term used to describe the large amount of data in the networked, digitized, sensor-laden, information-driven world. While opportunities exist with Big Data, the data can overwhelm traditional technical approaches and the growth of data is outpacing scientific and technological advances in data analytics. To advance progress in Big Data, the NIST Big Data Public Working Group (NBD-PWG) is working to develop consensus on important, fundamental concepts related to Big Data. The results are reported in the *NIST Big Data Interoperability Framework* series of volumes. This volume, Volume 7, contains summaries of the work presented in the other six volumes and an investigation of standards related to Big Data.

Keywords

Big Data, reference architecture, System Orchestrator, Data Provider, Big Data Application Provider, Big Data Framework Provider, Data Consumer, Security and Privacy Fabric, Management Fabric, Big Data taxonomy, use cases, Big Data characteristics, Big Data standards

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NIST SP1500-7, Version 1 has been collaboratively authored by the NBD-PWG. As of the date of this publication, there are over six hundred NBD-PWG participants from industry, academia, and government. Federal agency participants include the National Archives and Records Administration (NARA), National Aeronautics and Space Administration (NASA), National Science Foundation (NSF), and the U.S. Departments of Agriculture, Commerce, Defense, Energy, Health and Human Services, Homeland Security, Transportation, Treasury, and Veterans Affairs.

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^a “Contributors” are members of the NIST Big Data Public Working Group who dedicated great effort to prepare and substantial time on a regular basis to research and development in support of this document.

Notice to Readers

NIST is seeking feedback on the proposed working draft of the *NIST Big Data Interoperability Framework: Volume 7, Standards Roadmap*. Once public comments are received, compiled, and addressed by the NBD-PWG, and reviewed and approved by NIST internal editorial board, Version 1 of this volume will be published as final. Three versions are planned for this volume, with Versions 2 and 3 building on the first. Further explanation of the three planned versions and the information contained therein is included in Section 1.5 of this document.

Please be as specific as possible in any comments or edits to the text. Specific edits include, but are not limited to, changes in the current text, additional text further explaining a topic or explaining a new topic, additional references, or comments about the text, topics, or document organization. These specific edits can be recorded using one of the two following methods.

1. **TRACK CHANGES**: make edits to and comments on the text directly into this Word document using track changes
2. **COMMENT TEMPLATE**: capture specific edits using the Comment Template (http://bigdatawg.nist.gov/uploadfiles/SP1500-1-to-7_comment_template.docx), which includes space for Section number, page number, comment, and text edits

Submit the edited file from either method 1 or 2 to SP1500comments@nist.gov with the volume number in the subject line (e.g., Edits for Volume 7.)

Please contact Wo Chang (wchang@nist.gov) with any questions about the feedback submission process.

Big Data professionals continue to be welcome to join the NBD-PWG to help craft the work contained in the volumes of the *NIST Big Data Interoperability Framework*. Additional information about the NBD-PWG can be found at <http://bigdatawg.nist.gov>.

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1 Executive Summary

2 To provide a common Big Data framework, the NIST Big Data Public Working Group (NBD-PWG) is
3 creating vendor-neutral, technology- and infrastructure-agnostic deliverables, which include the
4 development of consensus based definitions, taxonomies, reference architecture, and roadmap. This
5 document, *NIST Interoperability Framework: Volume 7, Standards Roadmap*, summarizes the
6 deliverables of the other NBD-PWG subgroups (presented in detail in the other volumes of this series)
7 and presents the work of the NBD-PWG Technology Roadmap Subgroup. In the first phase of
8 development, the NBD-PWG Technology Roadmap Subgroup investigated existing standards that relate
9 to Big Data and recognized general categories of gaps in those standards.

10 The *NIST Big Data Interoperability Framework* consists of seven volumes, each of which addresses a
11 specific key topic, resulting from the work of the NBD-PWG. The seven volumes are as follows:

- 12 • Volume 1, Definitions
- 13 • Volume 2, Taxonomies
- 14 • Volume 3, Use Cases and General Requirements
- 15 • Volume 4, Security and Privacy
- 16 • Volume 5, Architectures White Paper Survey
- 17 • Volume 6, Reference Architecture
- 18 • Volume 7, Standards Roadmap

19 The *NIST Big Data Interoperability Framework* will be released in three versions, which correspond to
20 the three stages of the NBD-PWG work. The three stages aim to achieve the following with respect to the
21 NIST Big Data Reference Architecture (NBDRA.)

- 22 Stage 1: Identify the high-level Big Data reference architecture key components, which are
23 technology, infrastructure, and vendor agnostic
- 24 Stage 2: Define general interfaces between the NBDRA components
- 25 Stage 3: Validate the NBDRA by building Big Data general applications through the general interfaces

26 Potential areas of future work for the Subgroup during stage 2 are highlighted in Section 1.5 of this
27 volume. The current effort documented in this volume reflects concepts developed within the rapidly
28 evolving field of Big Data.

29

1 INTRODUCTION

1.1 BACKGROUND

There is broad agreement among commercial, academic, and government leaders about the remarkable potential of Big Data to spark innovation, fuel commerce, and drive progress. Big Data is the common term used to describe the deluge of data in today's networked, digitized, sensor-laden, and information-driven world. The availability of vast data resources carries the potential to answer questions previously out of reach, including the following:

- How can a potential pandemic reliably be detected early enough to intervene?
- Can new materials with advanced properties be predicted before these materials have ever been synthesized?
- How can the current advantage of the attacker over the defender in guarding against cyber-security threats be reversed?

There is also broad agreement on the ability of Big Data to overwhelm traditional approaches. The growth rates for data volumes, speeds, and complexity are outpacing scientific and technological advances in data analytics, management, transport, and data user spheres.

Despite widespread agreement on the inherent opportunities and current limitations of Big Data, a lack of consensus on some important, fundamental questions continues to confuse potential users and stymie progress. These questions include the following:

- What attributes define Big Data solutions?
- How is Big Data different from traditional data environments and related applications?
- What are the essential characteristics of Big Data environments?
- How do these environments integrate with currently deployed architectures?
- What are the central scientific, technological, and standardization challenges that need to be addressed to accelerate the deployment of robust Big Data solutions?

Within this context, on March 29, 2012, the White House announced the Big Data Research and Development Initiative.¹ The initiative's goals include helping to accelerate the pace of discovery in science and engineering, strengthening national security, and transforming teaching and learning by improving the ability to extract knowledge and insights from large and complex collections of digital data.

Six federal departments and their agencies announced more than \$200 million in commitments spread across more than 80 projects, which aim to significantly improve the tools and techniques needed to access, organize, and draw conclusions from huge volumes of digital data. The initiative also challenged industry, research universities, and nonprofits to join with the federal government to make the most of the opportunities created by Big Data.

Motivated by the White House initiative and public suggestions, the National Institute of Standards and Technology (NIST) has accepted the challenge to stimulate collaboration among industry professionals to further the secure and effective adoption of Big Data. As one result of NIST's Cloud and Big Data Forum held on January 15–17, 2013, there was strong encouragement for NIST to create a public working group for the development of a Big Data Interoperability Framework. Forum participants noted that this roadmap should define and prioritize Big Data requirements, including interoperability, portability, reusability, extensibility, data usage, analytics, and technology infrastructure. In doing so, the roadmap would accelerate the adoption of the most secure and effective Big Data techniques and technology.

72 On June 19, 2013, the NIST Big Data Public Working Group (NBD-PWG) was launched with extensive
 73 participation by industry, academia, and government from across the nation. The scope of the NBD-PWG
 74 involves forming a community of interests from all sectors—including industry, academia, and
 75 government—with the goal of developing consensus on definitions, taxonomies, secure reference
 76 architectures, security and privacy requirements, and—from these—a standards roadmap. Such a
 77 consensus would create a vendor-neutral, technology- and infrastructure-independent framework that
 78 would enable Big Data stakeholders to identify and use the best analytics tools for their processing and
 79 visualization requirements on the most suitable computing platform and cluster, while also allowing
 80 value-added from Big Data service providers.

81 The *NIST Big Data Interoperability Framework* consists of seven volumes, each of which addresses a
 82 specific key topic, resulting from the work of the NBD-PWG. The seven volumes are as follows:

- 83 • Volume 1, Definitions
- 84 • Volume 2, Taxonomies
- 85 • Volume 3, Use Cases and General Requirements
- 86 • Volume 4, Security and Privacy
- 87 • Volume 5, Architectures White Paper Survey
- 88 • Volume 6, Reference Architecture
- 89 • Volume 7, Standards Roadmap

90 The *NIST Big Data Interoperability Framework* will be released in three versions, which correspond to
 91 the three stages of the NBD-PWG work. The three stages aim to achieve the following with respect to the
 92 NIST Big Data Reference Architecture (NBDRA.)

93 Stage 1: Identify the high-level Big Data reference architecture key components, which are
 94 technology, infrastructure, and vendor agnostic

95 Stage 2: Define general interfaces between the NBDRA components

96 Stage 3: Validate the NBDRA by building Big Data general applications through the general interfaces

97 The NBDRA, created in Stage 1 and further developed in Stages 2 and 3, is a high-level conceptual model
 98 designed to serve as a tool to facilitate open discussion of the requirements, structures, and operations
 99 inherent in Big Data. It is discussed in detail in *NIST Big Data Interoperability Framework: Volume 6,*
 100 *Reference Architecture*. Potential areas of future work for the Subgroup during stage 2 are highlighted in
 101 Section 1.5 of this volume. The current effort documented in this volume reflects concepts developed
 102 within the rapidly evolving field of Big Data.

103 **1.2 NIST BIG DATA PUBLIC WORKING GROUP**

104 The focus of the NBD-PWG is to form a community of interest from industry, academia, and
 105 government, with the goal of developing consensus-based Big Data definitions, taxonomies, reference
 106 architectures, and standards roadmap. The aim is to create vendor-neutral, technology- and infrastructure-
 107 agnostic deliverables to enable Big Data stakeholders to select the best analytic tools for their processing
 108 and visualization requirements on the most suitable computing platforms and clusters while allowing
 109 value-added from Big Data service providers and flow of data between the stakeholders in a cohesive and
 110 secure manner.

111 To achieve this goal, five subgroups were formed to address specific issues and develop the deliverables.
 112 These subgroups are as follows:

- 113 • NIST Big Data Definitions and Taxonomies Subgroup
- 114 • NIST Big Data Use Case and Requirements Subgroup
- 115 • NIST Big Data Security and Privacy Subgroup
- 116 • NIST Big Data Reference Architecture Subgroup

- 117 • NIST Big Data Technology Roadmap Subgroup

118 This volume and its companions were developed based on the following guiding principles:

- 119 • Deliverables are technologically agnostic
- 120 • The audience is multi-sector, comprised of industry, government, and academia
- 121 • Findings from all subgroups are aligned
- 122 • Deliverables represent the culmination of concepts from all subgroups

123 **1.3 SCOPE AND OBJECTIVES OF THE TECHNOLOGY ROADMAP SUBGROUP**

124 The NBD-PWG Technology Roadmap Subgroup focused on forming a community of interest from
125 industry, academia, and government, with the goal of developing a consensus vision with
126 recommendations on how Big Data should move forward. The Subgroup’s approach was to perform a gap
127 analysis through the materials gathered from all other subgroups. This included setting standardization
128 and adoption priorities through an understanding of what standards are available or under development as
129 part of the recommendations. The goals of the Subgroup will be realized throughout the three planned
130 phases of the NBD-PWG work, as outlined in Section 1.1. The primary tasks of the NBD-PWG
131 Technology Roadmap Subgroup include the following:

- 132 • Gather input from NBD-PWG subgroups and study the taxonomies for the actors’ roles and
133 responsibility, use cases and general requirements, and secure reference architecture
- 134 • Gain understanding of what standards are available or under development for Big Data
- 135 • Perform a gap analysis and document the findings
- 136 • Identify what possible barriers may delay or prevent adoption of Big Data
- 137 • Document vision and recommendations

138 **1.4 REPORT PRODUCTION**

139 The *NIST Big Data Interoperability Framework: Volume 7, Standards Roadmap* is one of seven volumes
140 in the document, whose overall aims are to define and prioritize Big Data requirements, including
141 interoperability, portability, reusability, extensibility, data usage, analytic techniques, and technology
142 infrastructure in order to support secure and effective adoption of Big Data. The *NIST Big Data
143 Interoperability Framework: Volume 7, Standards Roadmap* is dedicated to developing a consensus
144 vision with recommendations on how Big Data should move forward specifically in the area of
145 standardization. In the first phase, the Subgroup focused on the identification of existing standards
146 relating to Big Data and inspection of gaps in those standards.

147 Following the introductory material presented in Section 1, the remainder of this document is organized
148 as follows:

- 149 • Section 2 summarizes the Big Data definitions presented in the *NIST Interoperability
150 Framework: Volume 1, Definitions* document
- 151 • Section 3 summarizes the assessment of the Big Data ecosystem, which was used to develop the
152 NBDRA and this roadmap
- 153 • Section 4 presents an overview of the NBDRA
- 154 • Section 5 presents an overview of the security and privacy fabric of the NBDRA
- 155 • Section 6 investigates the standards related to Big Data and the gaps in those standards

156 **1.5 FUTURE WORK ON THIS VOLUME**

157 The NIST Big Data Interoperability Framework will be released in three versions, which correspond to
158 the three stages of the NBD-PWG work, as outlined in Section 1.1.

159 Version 2 activities will focus on the following:

- 160 • Continue to build and refine the gap analysis and document the findings
- 161 • Identify where standards may accelerate the adoption and interoperability of Big Data
- 162 technologies
- 163 • Document recommendations for future standards activities
- 164 • Further map standards to NBDRA components and the interfaces between them.

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166 2 BIG DATA DEFINITION

167 There are two fundamental concepts in the emerging discipline of Big Data that have been used to
 168 represent multiple concepts. These two concepts, Big Data and data science, are broken down into
 169 individual terms and concepts in the following subsections. As a basis for discussions of the NBDRA and
 170 related standards and measurement technology, associated terminology is defined in subsequent
 171 subsections. *NIST Big Data Infrastructure Framework: Volume 1, Definitions* contains additional details
 172 and terminology.

173 2.1 BIG DATA DEFINITIONS

174 Big Data refers to the inability of traditional data architectures to efficiently handle the new datasets.
 175 Characteristics of Big Data that force new architectures are **volume** (i.e., the size of the dataset) and
 176 **variety** (i.e., data from multiple repositories, domains, or types), and the data in motion characteristics of
 177 **velocity** (i.e., rate of flow) and **variability** (i.e., the change in other characteristics). These
 178 characteristics—volume, variety, velocity, and variability—are known colloquially as the ‘Vs’ of Big
 179 Data and are further discussed in Section 3. Each of these characteristics influences the overall design of a
 180 Big Data system, resulting in different data system architectures or different data lifecycle process
 181 orderings to achieve needed efficiencies. A number of other terms are also used, several of which refer to
 182 the analytics process instead of new Big Data characteristics. The following Big Data definitions have
 183 been used throughout the seven volumes of the *NIST Big Data Interoperability Framework* and are fully
 184 described in *Volume 1*.

185 ***Big Data** consists of extensive datasets—primarily in the characteristics of volume,
 186 variety, velocity, and/or variability—that require a scalable architecture for efficient
 187 storage, manipulation, and analysis.*

188 *The **Big Data paradigm** consists of the distribution of data systems across horizontally
 189 coupled, independent resources to achieve the scalability needed for the efficient
 190 processing of extensive datasets.*

191 ***Veracity** refers to accuracy of the data*

192 ***Value** refers to the inherent wealth, economic and social, embedded in any data set*

193 ***Volatility** refers to the tendency for data structures to change over time*

194 ***Validity** refers to appropriateness of the data for its intended use*

195 2.2 DATA SCIENCE DEFINITIONS

196 In its purest form, data science is the fourth paradigm of science, following theory, experiment, and
 197 computational science. The fourth paradigm is a term coined by Dr. Jim Gray in 2007 to refer to the
 198 conduct of data analysis as an empirical science, learning directly from data itself. Data science as a
 199 paradigm would refer to the formulation of a hypothesis, the collection of the data—new or pre-
 200 existing—to address the hypothesis, and the analytical confirmation or denial of the hypothesis (or the
 201 determination that additional information or study is needed.) As in any experimental science, the end
 202 result could in fact be that the original hypothesis itself needs to be reformulated. The key concept is that
 203 data science is an empirical science, performing the scientific process directly on the data. Note that the
 204 hypothesis may be driven by a business need, or can be the restatement of a business need in terms of a
 205 technical hypothesis.

206 *Data science is the empirical synthesis of actionable knowledge from raw data through*
207 *the complete data lifecycle process.*

208 *The data science paradigm is extraction of actionable knowledge directly from data*
209 *through a process of discovery, hypothesis, and hypothesis testing.*

210 While the above definition of the data science paradigm refers to learning directly from data, in the Big
211 Data paradigm this learning must now implicitly involve all steps in the data lifecycle, with analytics
212 being only a subset. Data science can be understood as the activities happening in the data layer of the
213 system architecture to extract knowledge from the raw data.

214 *The data lifecycle is the set of processes that transform raw data into actionable*
215 *knowledge.*

216 Traditionally, the term analytics has been used as one of the steps in the data lifecycle of collection,
217 preparation, analysis, and action.

218 *Analytics is the synthesis of knowledge from information.*

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220 **3 INVESTIGATING THE BIG DATA ECOSYSTEM**

221 The development of a Big Data reference architecture involves a thorough understanding of current
 222 techniques, issues, concerns, and other topics. To this end, the NBD-PWG collected use cases to gain an
 223 understanding of current applications of Big Data, conducted a survey of reference architectures to
 224 understand commonalities within Big Data architectures in use, developed a taxonomy to understand and
 225 organize the information collected, and reviewed existing Big Data relevant technologies and trends.
 226 From the collected information, the NBD-PWG created the NBDRA, which is a high-level conceptual
 227 model designed to serve as a tool to facilitate open discussion of the requirements, structures, and
 228 operations inherent in Big Data. These NBD-PWG activities were used as input during the development
 229 of the entire NIST Big Data Interoperability Framework.

230 **3.1 USE CASES**

231 A consensus list of Big Data requirements across stakeholders was developed by the NBD-PWG Use
 232 Cases and Requirements Subgroup. The development of requirements included gathering and
 233 understanding various use cases from the nine diversified areas, or application domains, listed below.

- 234 • Government Operation
- 235 • Commercial
- 236 • Defense
- 237 • Healthcare and Life Sciences
- 238 • Deep Learning and Social Media
- 239 • The Ecosystem for Research
- 240 • Astronomy and Physics
- 241 • Earth, Environmental, and Polar Science
- 242 • Energy

243 Participants in the NBD-PWG Use Cases and Requirements Subgroup and other interested parties
 244 supplied publically available information for various Big Data architecture examples from the nine
 245 application domains, which developed organically from the 51 use cases collected by the Subgroup.

246 After collection, processing, and review of the use cases, requirements within seven Big Data
 247 characteristic categories were extracted from the individual use cases. Requirements are the challenges
 248 limiting further use of Big Data. The complete list of requirements extracted from the use cases is
 249 presented in the document *NIST Big Data Interoperability Framework: Volume 3, Use Cases and*
 250 *General Requirements*.

251 The use case specific requirements were then aggregated to produce high-level, general requirements,
 252 within seven characteristic categories. The seven categories were as follows:

- 253 • **Data sources** (e.g., data size, file formats, rate of growth, at rest or in motion)
- 254 • **Data transformation** (e.g., data fusion, analytics)
- 255 • **Capabilities** (e.g., software tools, platform tools, hardware resources such as storage and
 256 networking)
- 257 • **Data consumer** (e.g., processed results in text, table, visual, and other formats)
- 258 • **Security and privacy**
- 259 • **Lifecycle management** (e.g., curation, conversion, quality check, pre-analytic processing)
- 260 • **Other requirements**

261 The general requirements, created to be vendor neutral and technology agnostic, as listed below.

262 **DATA SOURCE REQUIREMENTS (DSR)**

- 263 • DSR-1: Needs to support reliable real-time, asynchronous, streaming, and batch processing to
- 264 collect data from centralized, distributed, and cloud data sources, sensors, or instruments.
- 265 • DSR-2: Needs to support slow, bursty, and high-throughput data transmission between data
- 266 sources and computing clusters.
- 267 • DSR-3: Needs to support diversified data content ranging from structured and unstructured text,
- 268 document, graph, web, geospatial, compressed, timed, spatial, multimedia, simulation, and
- 269 instrumental data.

270 **TRANSFORMATION PROVIDER REQUIREMENTS (TPR)**

- 271 • TPR-1: Needs to support diversified compute-intensive, analytic processing, and machine
- 272 learning techniques.
- 273 • TPR-2: Needs to support batch and real-time analytic processing.
- 274 • TPR-3: Needs to support processing large diversified data content and modeling.
- 275 • TPR-4: Needs to support processing data in motion (e.g., streaming, fetching new content,
- 276 tracking)

277 **CAPABILITY PROVIDER REQUIREMENTS (CPR)**

- 278 • CPR-1: Needs to support legacy and advanced software packages (software).
- 279 • CPR-2: Needs to support legacy and advanced computing platforms (platform).
- 280 • CPR-3: Needs to support legacy and advanced distributed computing clusters, co-processors,
- 281 input output processing (infrastructure).
- 282 • CPR-4: Needs to support elastic data transmission (networking).
- 283 • CPR-5: Needs to support legacy, large, and advanced distributed data storage (storage).
- 284 • CPR-6: Needs to support legacy and advanced executable programming: applications, tools,
- 285 utilities, and libraries (software).

286 **DATA CONSUMER REQUIREMENTS (DCR)**

- 287 • DCR-1: Needs to support fast searches (~0.1 seconds) from processed data with high relevancy,
- 288 accuracy, and recall.
- 289 • DCR-2: Needs to support diversified output file formats for visualization, rendering, and
- 290 reporting.
- 291 • DCR-3: Needs to support visual layout for results presentation.
- 292 • DCR-4: Needs to support rich user interface for access using browser, visualization tools.
- 293 • DCR-5: Needs to support high-resolution, multi-dimension layer of data visualization.
- 294 • DCR-6: Needs to support streaming results to clients.

295 **SECURITY AND PRIVACY REQUIREMENTS (SPR)**

- 296 • SPR-1: Needs to protect and preserve security and privacy of sensitive data.
- 297 • SPR-2: Needs to support sandbox, access control, and multi-level, policy-driven authentication on
- 298 protected data.

299 **LIFECYCLE MANAGEMENT REQUIREMENTS (LMR)**

- 300 • LMR-1: Needs to support data quality curation including pre-processing, data clustering,
- 301 classification, reduction, and format transformation.
- 302 • LMR-2: Needs to support dynamic updates on data, user profiles, and links.
- 303 • LMR-3: Needs to support data lifecycle and long-term preservation policy, including data
- 304 provenance.
- 305 • LMR-4: Needs to support data validation.

- 306 • LMR-5: Needs to support human annotation for data validation.
- 307 • LMR-6: Needs to support prevention of data loss or corruption.
- 308 • LMR-7: Needs to support multi-site archives.
- 309 • LMR-8: Needs to support persistent identifier and data traceability.
- 310 • LMR-9: Needs to support standardizing, aggregating, and normalizing data from disparate
- 311 sources.

312 **OTHER REQUIREMENTS (OR)**

- 313 • OR-1: Needs to support rich user interface from mobile platforms to access processed results.
- 314 • OR-2: Needs to support performance monitoring on analytic processing from mobile platforms.
- 315 • OR-3: Needs to support rich visual content search and rendering from mobile platforms.
- 316 • OR-4: Needs to support mobile device data acquisition.
- 317 • OR-5: Needs to support security across mobile devices.

318 Additional information about the Subgroup, use case collection, analysis of the use cases, and generation
 319 of the use case requirements are presented in the *NIST Big Data Interoperability Framework: Volume 3,*
 320 *Use Cases and General Requirements* document.

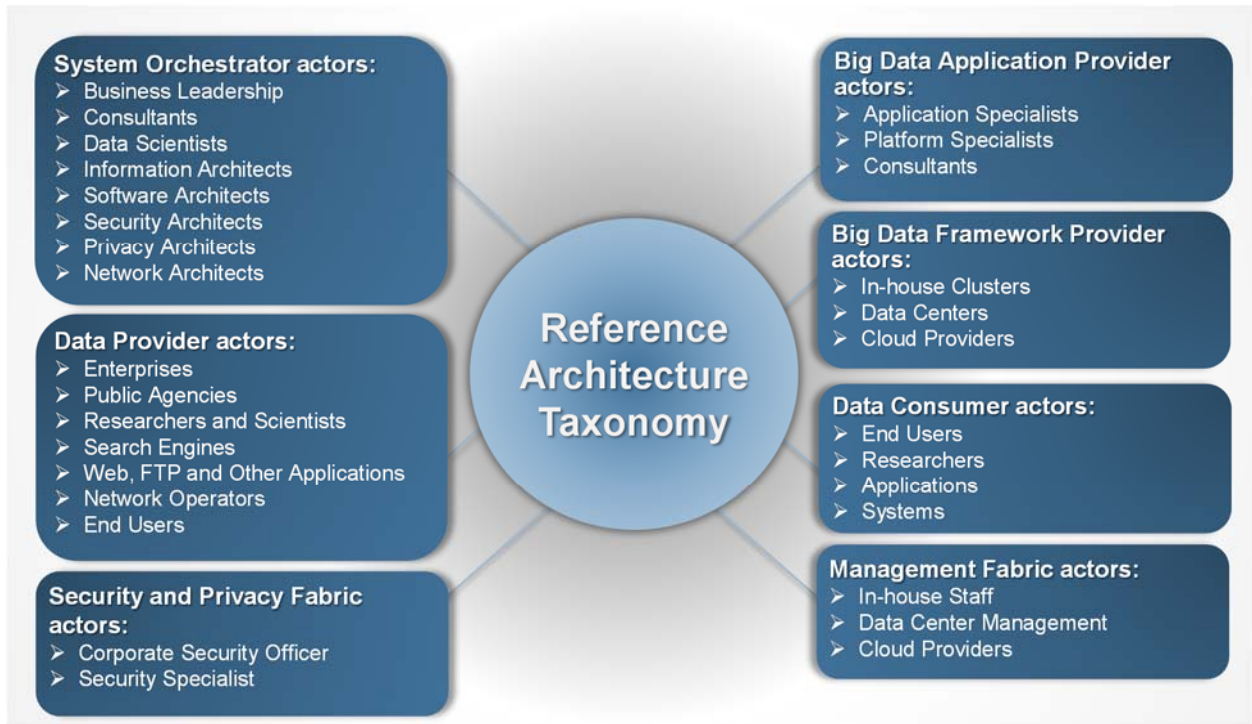
321 **3.2 REFERENCE ARCHITECTURE SURVEY**

322 The NBD-PWG Reference Architecture Subgroup conducted the reference architecture survey to advance
 323 understanding of the operational intricacies in Big Data and to serve as a tool for developing system-
 324 specific architectures using a common reference framework. The Subgroup surveyed currently published
 325 Big Data platforms by leading companies or individuals supporting the Big Data framework and analyzed
 326 the collected material. This effort revealed a remarkable consistency between Big Data architectures.
 327 Survey details, methodology, and conclusions are reported in *NIST Big Data Interoperability Framework:*
 328 *Volume 5, Architectures White Paper Survey.*

329 **3.3 TAXONOMY**

330 The NBD-PWG Definitions and Taxonomy Subgroup developed a hierarchy of reference architecture
 331 components. Additional taxonomy details are presented in the *NIST Big Data Interoperability*
 332 *Framework: Volume 2, Taxonomy* document.

333 Figure 1 outlines potential actors for the seven roles developed by the NBD-PWG Definition and
 334 Taxonomy Subgroup. The dark blue boxes contain the name of the role at the top with potential actors
 335 listed directly below.



336
337
338
339

Figure 1: NIST Big Data Reference Architecture Taxonomy

DRAFT

340 4 BIG DATA REFERENCE ARCHITECTURE

341 4.1 OVERVIEW

342 The goal of the NBD-PWG Reference Architecture Subgroup is to develop a Big Data, open reference
 343 architecture that facilitates the understanding of the operational intricacies in Big Data. It does not
 344 represent the system architecture of a specific Big Data system, but rather is a tool for describing,
 345 discussing, and developing system-specific architectures using a common framework of reference. The
 346 reference architecture achieves this by providing a generic high-level conceptual model that is an
 347 effective tool for discussing the requirements, structures, and operations inherent to Big Data. The model
 348 is not tied to any specific vendor products, services, or reference implementation, nor does it define
 349 prescriptive solutions that inhibit innovation.

350 The design of the NBDRA does not address the following:

- 351 • Detailed specifications for any organization’s operational systems
- 352 • Detailed specifications of information exchanges or services
- 353 • Recommendations or standards for integration of infrastructure products

354 Building on the work from other subgroups, the NBD PWG Reference Architecture Subgroup evaluated
 355 the general requirements formed from the use cases, evaluated the Big Data Taxonomy, performed a
 356 reference architecture survey, and developed the NBDRA conceptual model. The *NIST Big Data*
 357 *Interoperability Framework: Volume 3, Use Cases and General Requirements* document contains details
 358 of the Subgroup’s work.

359 The NBD-PWG Use Case Subgroup developed requirements in seven categories, which correspond to the
 360 reference architecture components as shown in Table 1. The requirements from each category were used
 361 as input for the development of the corresponding NBDRA component.

362 **Table 1: Mapping of Use Case Categories to the NBDRA Components**

Use Case Characterization Categories		Reference Architecture Components And Fabrics
Data sources	→	Data Provider
Data transformation	→	Big Data Application Provider
Capabilities	→	Big Data Framework Provider
Data consumer	→	Data Consumer
Security and privacy	→	Security and Privacy Fabric
Lifecycle management	→	System Orchestrator; Management Fabric
Other requirements	→	To all components and fabric

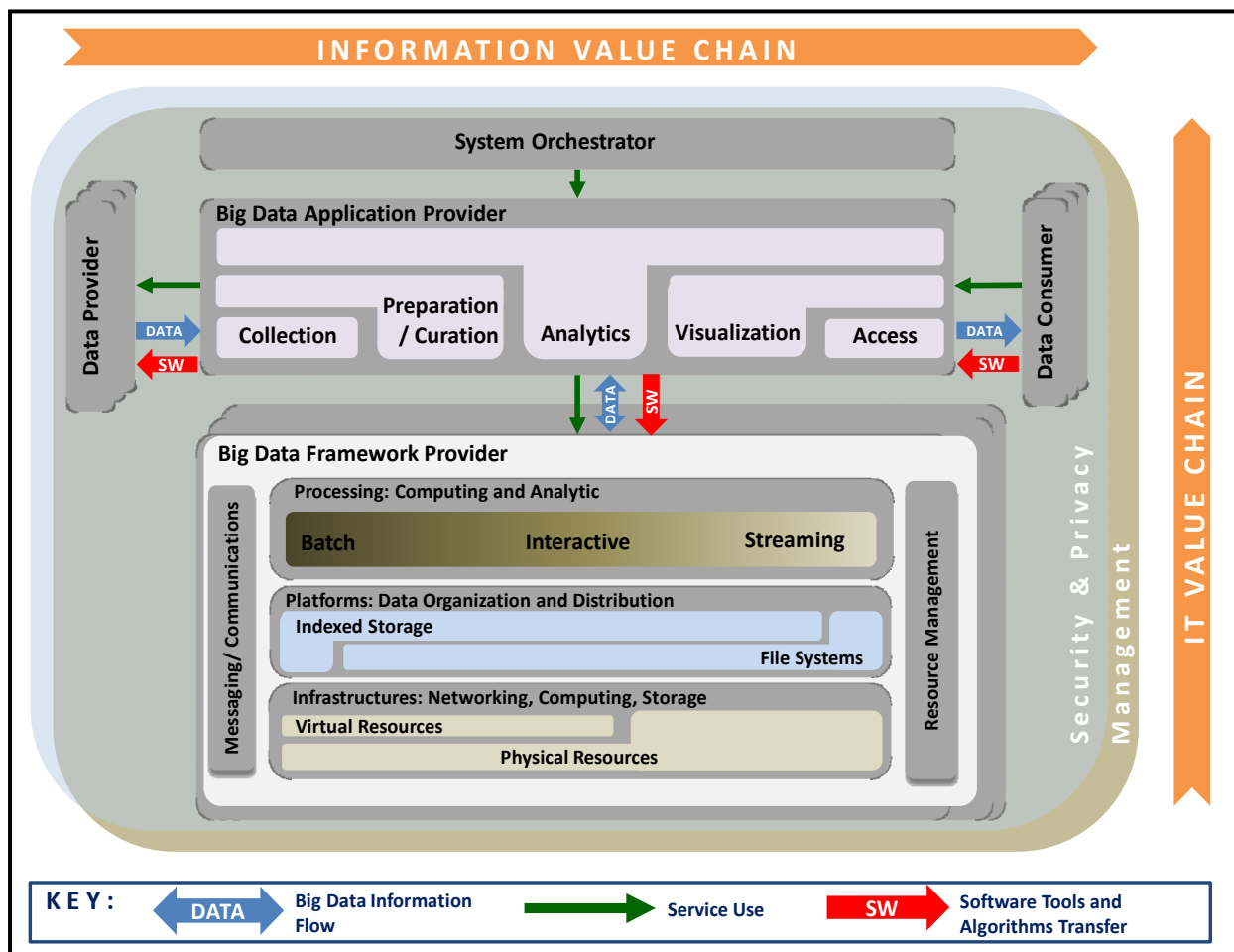
363

364 4.2 NBDRA CONCEPTUAL MODEL

365 The NBD-PWG Reference Architecture Subgroup used a variety of inputs from other NBD-PWG
 366 subgroups in developing a vendor-neutral, technology- and infrastructure-agnostic, conceptual model of
 367 Big Data architecture. This conceptual model, the NBDRA, is shown in Figure 2 and represents a Big
 368 Data system comprised of five logical functional components connected by interoperability interfaces

369 (i.e., services). Two fabrics envelop the components, representing the interwoven nature of management
 370 and security and privacy with all five of the components.

371 The NBDRA is intended to enable system engineers, data scientists, software developers, data architects,
 372 and senior decision makers to develop solutions to issues that require diverse approaches due to
 373 convergence of Big Data characteristics within an interoperable Big Data ecosystem. It provides a
 374 framework to support a variety of business environments, including tightly-integrated enterprise systems
 375 and loosely-coupled vertical industries, by enhancing understanding of how Big Data complements and
 376 differs from existing analytics, business intelligence, databases, and systems.



377
 378

Figure 2: NBDRA Conceptual Model

379 The NBDRA is organized around two axes representing the two Big Data value chains: the information
 380 (horizontal axis) and the Information Technology (IT) (vertical axis). Along the information, the
 381 value is created by data collection, integration, analysis, and applying the results following the value
 382 chain. Along the IT axis, the value is created by providing networking, infrastructure, platforms,
 383 application tools, and other IT services for hosting of and operating the Big Data in support of required
 384 data applications. At the intersection of both axes is the Big Data Application Provider component,
 385 indicating that data analytics and its implementation provide the value to Big Data stakeholders in both
 386 value chains. The names of the Big Data Application Provider and Big Data Framework Provider

387 components contain “providers” to indicate that these components provide or implement a specific
 388 technical function within the system.

389 The five main NBDRA components, shown in Figure 2 and discussed in detail in Section 4, represent
 390 different technical roles that exist in every Big Data system. These functional components are as follows:

- 391 • System Orchestrator
- 392 • Data Provider
- 393 • Big Data Application Provider
- 394 • Big Data Framework Provider
- 395 • Data Consumer

396 The two fabrics shown in Figure 2 encompassing the five functional components are the following:

- 397 • Management
- 398 • Security and Privacy

399 These two fabrics provide services and functionality to the five functional components in the areas
 400 specific to Big Data and are crucial to any Big Data solution.

401 The “DATA” arrows in Figure 2 show the flow of data between the system’s main components. Data
 402 flows between the components either physically (i.e., by value) or by providing its location and the means
 403 to access it (i.e., by reference). The “SW” arrows show transfer of software tools for processing of Big
 404 Data *in situ*. The “Service Use” arrows represent software programmable interfaces. While the main focus
 405 of the NBDRA is to represent the run-time environment, all three types of communications or transactions
 406 can happen in the configuration phase as well. Manual agreements (e.g., service-level agreements
 407 [SLAs]) and human interactions that may exist throughout the system are not shown in the NBDRA.

408 The components represent functional roles in the Big Data ecosystem. In system development, actors and
 409 roles have the same relationship as in the movies, but system development actors can represent
 410 individuals, organizations, software, or hardware. According to the Big Data taxonomy, a single actor can
 411 play multiple roles, and multiple actors can play the same role. The NBDRA does not specify the business
 412 boundaries between the participating actors or stakeholders, so the roles can either reside within the same
 413 business entity or can be implemented by different business entities. Therefore, the NBDRA is applicable
 414 to a variety of business environments, from tightly-integrated enterprise systems to loosely-coupled
 415 vertical industries that rely on the cooperation of independent stakeholders. As a result, the notion of
 416 internal versus external functional components or roles does not apply to the NBDRA. However, for a
 417 specific use case, once the roles are associated with specific business stakeholders, the functional
 418 components would be considered as internal or external—subject to the use case’s point of view.

419 The NBDRA does support the representation of stacking or chaining of Big Data systems. For example, a
 420 Data Consumer of one system could serve as a Data Provider to the next system down the stack or chain.

421 The five main components and the two fabrics of the NBDRA are discussed in the *NIST Big Data*
 422 *Interoperability Framework: Volume 6, Reference Architecture* and *Volume 4, Security and Privacy*.

423

424 **5 BIG DATA SECURITY AND PRIVACY**

425 Security and privacy measures for Big Data involve a different approach than traditional systems. Big
426 Data is increasingly stored on public cloud infrastructure built by various hardware, operating systems,
427 and analytical software. Traditional security approaches usually addressed small scale systems holding
428 static data on firewalled and semi-isolated networks. The surge in streaming cloud technology
429 necessitates extremely rapid responses to security issues and threats.²

430 Security and privacy considerations are a fundamental aspect of Big Data and affect all components of the
431 NBDRA. This comprehensive influence is depicted in Figure 2 by the grey rectangle marked “Security
432 and Privacy” surrounding all of the reference architecture components. At a minimum, a Big Data
433 reference architecture will provide verifiable compliance with both governance, risk management, and
434 compliance (GRC) and confidentiality, integrity, and availability (CIA) policies, standards, and best
435 practices. Additional information on the processes and outcomes of the NBD PWG Security and Privacy
436 Subgroup are presented in *NIST Big Data Interoperability Framework: Volume 4, Security and Privacy*.

437

438 6 BIG DATA STANDARDS

439 Big Data has generated interest in a wide variety of multi-stakeholder, collaborative organizations,
 440 including those involved in the de jure standards process, industry consortia, and open source
 441 organizations. These organizations may operate differently and focus on different aspects, but they all
 442 have a stake in Big Data. Integrating additional Big Data initiatives with ongoing collaborative efforts is a
 443 key to success. Identifying which collaborative initiative efforts address architectural requirements and
 444 which requirements are not currently being addressed is a starting point for building future multi-
 445 stakeholder collaborative efforts. Collaborative initiatives include, but are not limited to the following:

- 446 • Subcommittees and working groups of American National Standards Institute (ANSI)
- 447 • Accredited standards development organizations (SDOs; the de jure standards process)
- 448 • Industry consortia
- 449 • Reference implementations
- 450 • Open source implementations

451 Some of the leading SDOs and industry consortia working on Big Data related standards include:

- 452 • International Committee for Information Technology Standards (INCITS) and International
 453 Organization for Standardization (ISO)—de jure standards process
- 454 • Institute of Electrical and Electronics Engineers (IEEE)—de jure standards process
- 455 • International Electrotechnical Commission (IEC)
- 456 • Internet Engineering Task Force (IETF)
- 457 • World Wide Web Consortium (W3C)—Industry consortium
- 458 • Open Geospatial Consortium (OGC[®])—Industry consortium
- 459 • Organization for the Advancement of Structured Information Standards (OASIS)—Industry
 460 consortium
- 461 • Open Grid Forum (OGF)—Industry consortium

462 The organizations and initiatives referenced in this document do not form an exhaustive list. It is
 463 anticipated that as this document is more widely distributed, more standards efforts addressing additional
 464 segments of the Big Data mosaic will be identified.

465 There are a number of government organizations that publish standards relative to their specific problem
 466 areas. The US Department of Defense alone maintains hundreds of standards. Many of these are based on
 467 other standards (e.g., ISO, IEEE, ANSI) and could be applicable to the Big Data problem space.
 468 However, a fair, comprehensive review of these standards would exceed the available document
 469 preparation time and may not be of interest to the majority of the audience for this report. Readers
 470 interested in domains covered by the government organizations and standards, are encouraged to review
 471 the standards for applicability to their specific needs.

472 Open source implementations are providing useful new technology that is being used either directly or as
 473 the basis for commercially supported products. These open source implementations are not just individual
 474 products. One needs to integrate an eco-system of products to accomplish ones goals. Because of the
 475 ecosystem complexity, and because of the difficulty of fairly and exhaustively reviewing open source
 476 implementations, such implementations are not included in this section. However, it should be noted that
 477 those implementations often evolve to become the de facto reference implementations for many
 478 technologies.

479 6.1 EXISTING STANDARDS

480 This section presents a list of existing standards from the above listed organizations that are relevant to
 481 Big Data and the NBDRA. Determining the relevance of standards to the Big Data domain is challenging
 482 since almost all standards in some way deal with data. Whether a standard is relevant to Big Data is
 483 generally determined by impact of Big Data characteristics (i.e., volume, velocity, variety, and veracity)
 484 on the standard or, more generally, by the scalability of the standard to accommodate those
 485 characteristics. A standard may also be applicable to Big Data depending on the extent to which that
 486 standard helps to address one or more of the Big Data characteristics. Finally, a number of standards are
 487 also very domain or problem specific and, while they deal with or address Big Data, they support a very
 488 specific functional domain and developing even a marginally comprehensive list of such standards would
 489 require a massive undertaking involving subject matter experts in each potential problem domain, which
 490 is beyond the scope of the NBD-PWG.

491 In selecting standards to include in Table 2, the working group focused on standards that would do the
 492 following:

- 493 • Facilitate interfaces between NBDRA components
- 494 • Facilitate the handling of data with one or more Big Data characteristics
- 495 • Represent a fundamental function needing to be implemented by one or more NBDRA
 496 components

497 Table 2 represents a portion of potentially applicable standards from a portion of contributing
 498 organizations working in Big Data domain.

499 As most standards represent some form of interface between components Table 2 is annotated with
 500 whether the NBDRA component would be an Implementer or User of the standard. For the purposes of
 501 this table the following definitions were used for Implementer and User.

502 **Implementer:** *A component is an implementer of a standard if it provides services based*
 503 *on the standard (e.g., a service that accepts Structured Query Language [SQL]*
 504 *commands would be an implementer of that standard) or encodes or presents data based*
 505 *on that standard.*

506 **User:** *A component is a user of a standard if it interfaces to a service via the standard or*
 507 *if it accepts/consumes/decodes data represented by the standard.*

508 While the above definitions provide a reasonable basis for some standards the difference between
 509 implementation and use may be negligible or non-existent.

510 The NBDRA components are abbreviated in the table header as follows:

- 511 • SO = System Orchestrator component
- 512 • DP = Data Provider component
- 513 • DC = Data Consumer component
- 514 • BDAP = Big Data Application Provider component
- 515 • BDFP = Big Data Framework Provider component
- 516 • S&P = Security and Privacy Fabric
- 517 • M = Management Fabric

518

Table 2: Existing Big Data Standards

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
ISO/IEC 9075-*	ISO/IEC 9075 defines SQL. The scope of SQL is the definition of data structure and the operations on data stored in that structure. ISO/IEC 9075-1, ISO/IEC 9075-2 and ISO/IEC 9075-11 encompass the minimum requirements of the language. Other parts define extensions.		I	I/U	U	I/U	U	U
ISO/IEC Technical Report (TR) 9789	Guidelines for the Organization and Representation of Data Elements for Data Interchange		I/U	I/U	I/U	I/U		
ISO/IEC 11179-*	The 11179 standard is a multipart standard for the definition and implementation of Metadata Registries. The series includes the following parts: <ul style="list-style-type: none"> • Part 1: Framework • Part 2: Classification • Part 3: Registry metamodel and basic attributes • Part 4: Formulation of data definitions • Part 5: Naming and identification principles • Part 6: Registration 		I	I/U	I/U		U	
ISO/IEC 10728-*	Information Resource Dictionary System Services Interface							
ISO/IEC 13249-*	Database Languages – SQL Multimedia and Application Packages		I	I/U	U	I/U		
ISO/IE TR 19075-*	This is a series of TRs on SQL related technologies. <ul style="list-style-type: none"> • Part 1: Xquery • Part 2: SQL Support for Time-Related Information • Part 3: Programs Using the Java Programming Language • Part 4: Routines and Types Using the Java Programming Language 		I	I/U	U	I/U		
ISO/IEC 19503	Extensible Markup Language (XML) Metadata Interchange (XMI)		I	I/U	U	I/U	U	

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
ISO/IEC 19773	Metadata Registries Modules		I	I/U	U	I/U	I/U	
ISO/IEC TR 20943	Metadata Registry Content Consistency		I	I/U	U	I/U	U	U
ISO/IEC 19763-*	<p>Information Technology—Metamodel Framework for Interoperability (MFI) ISO/IEC 19763, Information Technology—MFI. The 19763 standard is a multipart standard that includes the following parts:</p> <ul style="list-style-type: none"> • Part 1: Reference model • Part 3: Metamodel for ontology registration • Part 5: Metamodel for process model registration • Part 6: Registry Summary • Part 7: Metamodel for service registration • Part 8: Metamodel for role and goal registration • Part 9: On Demand Model Selection (ODMS) TR • Part 10: Core model and basic mapping • Part 12: Metamodel for information model registration • Part 13: Metamodel for forms registration • Part 14: Metamodel for dataset registration • Part 15: Metamodel for data provenance registration 		I	I/U	U	U		
ISO/IEC 9281:1990	Information Technology—Picture Coding Methods		I	U	I/U	I/U		
ISO/IEC 10918:1994	Information Technology—Digital Compression and Coding of Continuous-Tone Still Images		I	U	I/U	I/U		
ISO/IEC 11172:1993	Information Technology—Coding of Moving Pictures and Associated Audio for Digital Storage Media at up to About 1,5 Mbit/s		I	U	I/U	I/U		
ISO/IEC 13818:2013	Information Technology—Generic Coding of Moving Pictures and Associated Audio Information		I	U	I/U	I/U		

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
ISO/IEC 14496:2010	Information Technology— Coding of Audio-Visual Objects		I	U	I/U	I/U		
ISO/IEC 15444:2011	Information Technology— JPEG (Joint Photographic Experts Group) 2000 Image Coding System		I	U	I/U	I/U		
ISO/IEC 21000:2003	Information Technology— Multimedia Framework (MPEG [Moving Picture Experts Group]-21)		I	U	I/U	I/U		
ISO 6709:2008	Standard Representation of Geographic Point Location by Coordinates		I	U	I/U	I/U		
ISO 19115-*	Geographic Metadata		I	U	I/U	U		
ISO 19110	Geographic Information Feature Cataloging		I	U	I/U			
ISO 19139	Geographic Metadata XML Schema Implementation		I	U	I/U			
ISO 19119	Geographic Information Services		I	U	I/U			
ISO 19157	Geographic Information Data Quality		I	U	I/U	U		
ISO 19114	Geographic Information— Quality Evaluation Procedures				I			
IEEE 21451 -*	Information Technology— Smart transducer interface for sensors and actuators <ul style="list-style-type: none"> • Part 1: Network Capable Application Processor (NCAP) information model • Part 2: Transducer to microprocessor communication protocols and Transducer Electronic Data Sheet (TEDS) formats • Part 4: Mixed-mode communication protocols and TEDS formats • Part 7: Transducer to radio frequency identification (RFID) systems communication protocols and TEDS formats 		I	U				
IEEE 2200-2012	Standard Protocol for Stream Management in Media Client Devices		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
ISO/IEC 15408:2009	Information Technology—Security Techniques—Evaluation Criteria for IT Security	U					I	
ISO/IEC 27010:2012	Information Technology—Security Techniques—Information Security Management for Inter-Sector and Inter-Organizational Communications		I	U	I/U			
ISO/IEC 27033-1:2009	Information Technology—Security Techniques—Network Security		I/U	I/U	I/U	I		
ISO/IEC TR 14516:2002	Information Technology—Security Techniques—Guidelines for the Use and Management of Trusted Third Party Services	U					U	
ISO/IEC 29100:2011	Information Technology—Security Techniques—Privacy Framework						I	
ISO/IEC 9798:2010	Information Technology—Security Techniques—Entity Authentication		I/U	U	U	U	I/U	
ISO/IEC 11770:2010	Information Technology—Security Techniques—Key Management		I/U	U	U	U	I/U	
ISO/IEC 27035:2011	Information Technology—Security Techniques—Information Security Incident Management	U					I	
ISO/IEC 27037:2012	Information Technology—Security Techniques—Guidelines for Identification, Collection, Acquisition and Preservation of Digital Evidence	U					I	
JSR (Java Specification Request) 221 (developed by the Java Community Process)	JDBC™ 4.0 Application Programming Interface (API) Specification		I/U	I/U	I/U	I/U		
W3C XML	XML 1.0 (Fifth Edition) W3C Recommendation 26 November 2008	I/U	I/U	I/U	I/U	I/U	I/U	I/U

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
W3C Resource Description Framework (RDF)	The RDF is a framework for representing information in the Web. RDF graphs are sets of subject-predicate-object triples, where the elements are used to express descriptions of resources.		I	U	I/U	I/U		
W3C JavaScript Object Notation (JSON)-LD 1.0	JSON-LD 1.0 A JSON-based Serialization for Linked Data W3C Recommendation 16 January 2014		I	U	I/U	I/U		
W3C Document Object Model (DOM) Level 1 Specification	This series of specifications define the DOM, a platform- and language-neutral interface that allows programs and scripts to dynamically access and update the content, structure and style of HyperText Markup Language (HTML) and XML documents.		I	U	I/U	I/U		
W3C XQuery 3.0	The XQuery specifications describe a query language called XQuery, which is designed to be broadly applicable across many types of XML data sources.		I	U	I/U	I/U		
W3C XProc	This specification describes the syntax and semantics of <i>XProc: An XML Pipeline Language</i> , a language for describing operations to be performed on XML documents.	I	I	U	I/U	I/U		
W3C XML Encryption Syntax and Processing Version 1.1	This specification covers a process for encrypting data and representing the result in XML.		I	U	I/U			
W3C XML Signature Syntax and Processing Version 1.1	This specification covers XML digital signature processing rules and syntax. XML Signatures provide integrity, message authentication, and/or signer authentication services for data of any type, whether located within the XML that includes the signature or elsewhere.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
W3C XPath 3.0	XPath 3.0 is an expression language that allows the processing of values conforming to the data model defined in [XQuery and XPath Data Model (XDM) 3.0]. The data model provides a tree representation of XML documents as well as atomic values and sequences that may contain both references to nodes in an XML document and atomic values.		I	U	I/U	I/U		
W3C XSL Transformations (XSLT) Version 2.0	This specification defines the syntax and semantics of XSLT 2.0, a language for transforming XML documents into other XML documents.		I	U	I/U	I/U		
W3C Efficient XML Interchange (EXI) Format 1.0 (Second Edition)	This specification covers the EXI format. EXI is a very compact representation for the XML Information Set that is intended to simultaneously optimize performance and the utilization of computational resources.		I	U	I/U			
W3C RDF Data Cube Vocabulary	The Data Cube vocabulary provides a means to publish multi-dimensional data, such as statistics on the Web using the W3C RDF standard.		I	U	I/U	I/U		
W3C Data Catalog Vocabulary (DCAT)	DCAT is an RDF vocabulary designed to facilitate interoperability between data catalogs published on the Web. This document defines the schema and provides examples for its use.		I	U	I/U			
W3C HTML5 A vocabulary and associated APIs for HTML and XHTML	This specification defines the 5th major revision of the core language of the World Wide Web—HTML.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
W3C Internationalization Tag Set (ITS) 2.0	The ITS 2.0 specification enhances the foundation to integrate automated processing of human language into core Web technologies and concepts that are designed to foster the automated creation and processing of multilingual Web content.		I	U	I/U	I/U		
W3C OWL 2 Web Ontology Language	The OWL 2 Web Ontology Language, informally OWL 2, is an ontology language for the Semantic Web with formally defined meaning.		I	U	I/U	I/U		
W3C Platform for Privacy Preferences (P3P) 1.0	The P3P enables Web sites to express their privacy practices in a standard format that can be retrieved automatically and interpreted easily by user agents.		I	U	I/U		I/U	
W3C Protocol for Web Description Resources (POWDER)	POWDER—the Protocol for Web Description Resources—provides a mechanism to describe and discover Web resources and helps the users to make a decision whether a given resource is of interest.		I	U	I/U			
W3C Provenance	Provenance is information about entities, activities, and people involved in producing a piece of data or thing, which can be used to form assessments about its quality, reliability or trustworthiness. The Provenance Family of Documents (PROV) defines a model, corresponding serializations and other supporting definitions to enable the inter-operable interchange of provenance information in heterogeneous environments such as the Web.		I	U	I/U	I/U	U	
W3C Rule Interchange Format (RIF)	RIF is a series of standards for exchanging rules among rule systems, in particular among Web rule engines.		I	U	I/U	I/U		

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
W3C Service Modeling Language (SML) 1.1	This specification defines the SML, Version 1.1 used to model complex services and systems, including their structure, constraints, policies, and best practices.	I/U	I	U	I/U			
W3C Simple Knowledge Organization System Reference (SKOS)	This document defines the SKOS, a common data model for sharing and linking knowledge organization systems via the Web.		I	U	I/U			
W3C Simple Object Access Protocol (SOAP) 1.2	SOAP is a protocol specification for exchanging structured information in the implementation of web services in computer networks.		I	U	I/U			
W3C SPARQL 1.1	SPARQL is a language specification for the query and manipulation of linked data in a RDF format.		I	U	I/U	I/U		
W3C Web Service Description Language (WSDL) 2.0	This specification describes the WSDL Version 2.0, an XML language for describing Web services.	U	I	U	I/U			
W3C XML Key Management Specification (XKMS) 2.0	This standard specifies protocols for distributing and registering public keys, suitable for use in conjunction with the W3C Recommendations for XML Signature [XML-SIG] and XML Encryption [XML-Enc]. The XKMS comprises two parts — the XML Key Information Service Specification (X-KISS) and the XML Key Registration Service Specification (X-KRSS).	U	I	U	I/U			
OGC® OpenGIS® Catalogue Services Specification 2.0.2 - ISO Metadata Application Profile	This series of standard covers Catalogue Services based on ISO19115/ISO19119 are organized and implemented for the discovery, retrieval and management of data metadata, services metadata and application metadata.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
OGC® OpenGIS® GeoAPI	The GeoAPI Standard defines, through the GeoAPI library, a Java language API including a set of types and methods which can be used for the manipulation of geographic information structured following the specifications adopted by the Technical Committee 211 of the ISO and by the OGC®.		I	U	I/U	I/U		
OGC® OpenGIS® GeoSPARQL	The OGC® GeoSPARQL standard supports representing and querying geospatial data on the Semantic Web. GeoSPARQL defines a vocabulary for representing geospatial data in RDF, and it defines an extension to the SPARQL query language for processing geospatial data.		I	U	I/U	I/U		
OGC® OpenGIS® Geography Markup Language (GML) Encoding Standard	The GML is an XML grammar for expressing geographical features. GML serves as a modeling language for geographic systems as well as an open interchange format for geographic transactions on the Internet.		I	U	I/U	I/U		
OGC® Geospatial eXtensible Access Control Markup Language (GeoXACML) Version 1	The Policy Language introduced in this document defines a geo-specific extension to the XACML Policy Language, as defined by the OASIS standard eXtensible Access Control Markup Language (XACML), Version 2.0”		I	U	I/U	I/U	I/U	
OGC® network Common Data Form (netCDF)	netCDF is a set of software libraries and self-describing, machine-independent data formats that support the creation, access, and sharing of array-oriented scientific data.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
OGC® Open Modelling Interface Standard (OpenMI)	The purpose of the OpenMI is to enable the runtime exchange of data between process simulation models and also between models and other modelling tools such as databases and analytical and visualization applications.		I	U	I/U	I/U		
OGC® OpenSearch Geo and Time Extensions	This OGC standard specifies the Geo and Time extensions to the OpenSearch query protocol. OpenSearch is a collection of simple formats for the sharing of search results.		I	U	I/U	I		
OGC® Web Services Context Document (OWS Context)	The OGC® OWS Context was created to allow a set of configured information resources (service set) to be passed between applications primarily as a collection of services.		I	U	I/U	I		
OGC® Sensor Web Enablement (SWE)	This series of standards support interoperability interfaces and metadata encodings that enable real time integration of heterogeneous sensor webs. These standards include a modeling language (SensorML), common data model, and sensor observation, planning, and alerting service interfaces.		I	U	I/U			
OGC® OpenGIS® Simple Features Access (SFA)	Describes the common architecture for simple feature geometry and is also referenced as ISO 19125. It also implements a profile of the spatial schema described in ISO 19107:2003.		I	U	I/U	I/U		
OGC® OpenGIS® Georeferenced Table Joining Service (TJS) Implementation Standard	This standard is the specification for a TJS that defines a simple way to describe and exchange tabular data that contains information about geographic objects.		I	U	I/U	I/U		

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
OGC® OpenGIS® Web Coverage Processing Service Interface (WCPS) Standard	Defines a protocol-independent language for the extraction, processing, and analysis of multi-dimensional gridded coverages representing sensor, image, or statistics data.		I	U	I/U	I		
OGC® OpenGIS® Web Coverage Service (WCS)	This document specifies how a WCS offers multi-dimensional coverage data for access over the Internet. This document specifies a core set of requirements that a WCS implementation must fulfill.		I	U	I/U	I		
OGC® Web Feature Service (WFS) 2.0 Interface Standard	The WFS standard provides for fine-grained access to geographic information at the feature and feature property level. This International Standard specifies discovery operations, query operations, locking operations, transaction operations and operations to manage stored, parameterized query expressions.		I	U	I/U	I		
OGC® OpenGIS® Web Map Service (WMS) Interface Standard	The OpenGIS® WMS Interface Standard provides a simple HTTP interface for requesting geo-registered map images from one or more distributed geospatial databases.		I	U	I/U	I		
OGC® OpenGIS® Web Processing Service (WPS) Interface Standard	The OpenGIS® WPS Interface Standard provides rules for standardizing how inputs and outputs (requests and responses) for geospatial processing services, such as polygon overlay. The standard also defines how a client can request the execution of a process, and how the output from the process is handled. It defines an interface that facilitates the publishing of geospatial processes and clients' discovery of and binding to those processes.		I	U	I/U	I		
OASIS AS4 Profile of ebMS 3.0 v1.0	Standard for business to business exchange of messages via a web service platform.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
OASIS Advanced Message Queuing Protocol (AMQP) Version 1.0	The AMQP is an open internet protocol for business messaging. It defines a binary wire-level protocol that allows for the reliable exchange of business messages between two parties.		I	U	U	I		
OASIS Application Vulnerability Description Language (AVDL) v1.0	This specification describes a standard XML format that allows entities (such as applications, organizations, or institutes) to communicate information regarding web application vulnerabilities.		I	U	I		U	
OASIS Biometric Identity Assurance Services (BIAS) Simple Object Access Protocol (SOAP) Profile v1.0	This OASIS BIAS profile specifies how to use XML (XML10) defined in ANSI INCITS 442-2010—BIAS to invoke SOAP -based services that implement BIAS operations.		I	U	I/U		U	
OASIS Content Management Interoperability Services (CMIS)	The CMIS standard defines a domain model and set of bindings that include Web Services and ReSTful AtomPub that can be used by applications to work with one or more Content Management repositories/systems.		I	U	I/U	I		
OASIS Digital Signature Service (DSS)	This specification describes two XML-based request/response protocols - a signing protocol and a verifying protocol. Through these protocols a client can send documents (or document hashes) to a server and receive back a signature on the documents; or send documents (or document hashes) and a signature to a server, and receive back an answer on whether the signature verifies the documents.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
OASIS Directory Services Markup Language (DSML) v2.0	The DSML provides a means for representing directory structural information as an XML document methods for expressing directory queries and updates (and the results of these operations) as XML documents		I	U	I/U	I		
OASIS ebXML Messaging Services	These specifications define a communications-protocol neutral method for exchanging electronic business messages as XML.		I	U	I/U			
OASIS ebXML RegRep	ebXML RegRep is a standard defining the service interfaces, protocols and information model for an integrated registry and repository. The repository stores digital content while the registry stores metadata that describes the content in the repository.		I	U	I/U	I		
OASIS ebXML Registry Information Model	The Registry Information Model provides a blueprint or high-level schema for the ebXML Registry. It provides implementers with information on the type of metadata that is stored in the Registry as well as the relationships among metadata Classes.		I	U	I/U			
OASIS ebXML Registry Services Specification	An ebXML Registry is an information system that securely manages any content type and the standardized metadata that describes it. The ebXML Registry provides a set of services that enable sharing of content and metadata between organizational entities in a federated environment.		I	U	I/U			
OASIS eXtensible Access Control Markup Language (XACML)	The standard defines a declarative access control policy language implemented in XML and a processing model describing how to evaluate access requests according to the rules defined in policies.		I	U	I/U	I/U	I/U	

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
OASIS Message Queuing Telemetry Transport (MQTT)	MQTT is a Client Server publish/subscribe messaging transport protocol for constrained environments such as for communication in Machine to Machine and Internet of Things contexts where a small code footprint is required and/or network bandwidth is at a premium.		I	U	I/U			
OASIS Open Data (OData) Protocol	The OData Protocol is an application-level protocol for interacting with data via RESTful interfaces. The protocol supports the description of data models and the editing and querying of data according to those models.		I	U	I/U	I/U		
OASIS Search Web Services (SWS)	The OASIS SWS initiative defines a generic protocol for the interaction required between a client and server for performing searches. SWS define an Abstract Protocol Definition to describe this interaction.		I	U	I/U			
OASIS Security Assertion Markup Language (SAML) v2.0	The SAML defines the syntax and processing semantics of assertions made about a subject by a system entity. This specification defines both the structure of SAML assertions, and an associated set of protocols, in addition to the processing rules involved in managing a SAML system.		I	U	I/U	I/U	I/U	
OASIS SOAP-over-UDP (User Datagram Protocol) v1.1	This specification defines a binding of SOAP to user datagrams, including message patterns, addressing requirements, and security considerations.		I	U	I/U			

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
OASIS Solution Deployment Descriptor Specification v1.0	This specification defines schema for two XML document types: Package Descriptors and Deployment Descriptors. Package Descriptors define characteristics of a package used to deploy a solution. Deployment Descriptors define characteristics of the content of a solution package, including the requirements that are relevant for creation, configuration and maintenance of the solution content.	U						I/U
OASIS Symptoms Automation Framework (SAF) Version 1.0	This standard defines reference architecture for the Symptoms Automation Framework, a tool in the automatic detection, optimization, and remediation of operational aspects of complex systems,							I/U
OASIS Topology and Orchestration Specification for Cloud Applications Version 1.0	The concept of a “service template” is used to specify the “topology” (or structure) and “orchestration” (or invocation of management behavior) of IT services. This specification introduces the formal description of Service Templates, including their structure, properties, and behavior.	I/U			U	I		I/U
OASIS Universal Business Language (UBL) v2.1	The OASIS UBL defines a generic XML interchange format for business documents that can be restricted or extended to meet the requirements of particular industries.		I	U	I/U	U		
OASIS Universal Description, Discovery and Integration (UDDI) v3.0.2	The focus of UDDI is the definition of a set of services supporting the description and discovery of (1) businesses, organizations, and other Web services providers, (2) the Web services they make available, and (3) the technical interfaces which may be used to access those services.		I	U	I/U			U

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDF P	S&P	M
OASIS Unstructured Information Management Architecture (UIMA) v1.0	The UIMA specification defines platform-independent data representations and interfaces for text and multi-modal analytics.				U	I		
OASIS Unstructured Operation Markup Language (UOML) v1.0	UOML is interface standard to process unstructured document; it plays the similar role as SQL to structured data. UOML is expressed with standard XML.		I	U	I/U	I		
OASIS/W3C WebCGM v2.1	Computer Graphics Metafile (CGM) is an ISO standard, defined by ISO/IEC 8632:1999, for the interchange of 2D vector and mixed vector/raster graphics. WebCGM is a profile of CGM, which adds Web linking and is optimized for Web applications in technical illustration, electronic documentation, geophysical data visualization, and similar fields.		I	U	I/U	I		
OASIS Web Services Business Process Execution Language (WS-BPEL) v2.0	This standard defines a language for specifying business process behavior based on Web Services. WS-BPEL provides a language for the specification of Executable and Abstract business processes.	U			I			
OASIS/W3C - Web Services Distributed Management (WSDM): Management Using Web Services (MUWS) v1.1	MUWS defines how an IT resource connected to a network provides manageability interfaces such that the IT resource can be managed locally and from remote locations using Web services technologies.	U			I	I	U	U
OASIS WSDM: Management of Web Services (MOWS) v1.1	This part of the WSDM specification addresses management of the Web services endpoints using Web services protocols.	U			I	I	U	U
OASIS Web Services Dynamic Discovery (WS-Discovery) v1.1	This specification defines a discovery protocol to locate services. The primary scenario for discovery is a client searching for one or more target services.	U	I	U	I/U			U

Standard Name/Number	Description	NBDRA Components						
		SO	DP	DC	BDAP	BDFP	S&P	M
OASIS Web Services Federation Language (WS-Federation) v1.2	This specification defines mechanisms to allow different security realms to federate, such that authorized access to resources managed in one realm can be provided to security principals whose identities and attributes are managed in other realms.		I	U	I/U		U	
OASIS Web Services Notification (WSN) v1.3	WSN is a family of related specifications that define a standard Web services approach to notification using a topic-based publish/subscribe pattern.		I	U	I/U			
IETF Simple Network Management Protocol (SNMP) v3	SNMP is a series of IETF sponsored standards for remote management of system/network resources and transmission of status regarding network resources. The standards include definitions of standard management objects along with security controls.				I	I	I/U	U
IETF Extensible Provisioning Protocol (EPP)	This IETF series of standards describes an application-layer client-server protocol for the provisioning and management of objects stored in a shared central repository. Specified in XML, the protocol defines generic object management operations and an extensible framework that maps protocol operations to objects.	U						I/U

520 **Table Notes:**
 521 SO = System Orchestrator component
 522 DP = Data Provider component
 523 DC = Data Consumer component
 524 BDAP = Big Data Application Provider component
 525 BDFP = Big Data Framework Provider component
 526 S&P = Security and Privacy Fabric
 527 M = Management Fabric

528 **6.2 GAP IN STANDARDS**

529 The potential gaps in Big Data standardization are provided in this section to describe broad areas that
 530 may be of interest to SDOs, consortia, and readers of this document. The list provided below was
 531 produced by an ISO/IEC Joint Technical Committee 1 (JTC1) Study Group on Big Data to serve as a
 532 potential guide to ISO in their establishment of Big Data standards activities.³ The potential Big Data
 533 standardization gaps, identified by the study group, described broad areas that may be of interest to this
 534 community. These gaps in standardization activities related to Big Data are in the following areas:

- 535 1. Big Data use cases, definitions, vocabulary and reference architectures (e.g., system, data,
536 platforms, online/offline)
- 537 2. Specifications and standardization of metadata including data provenance
- 538 3. Application models (e.g. batch, streaming)
- 539 4. Query languages including non-relational queries to support diverse data types (e.g., XML, RDF,
540 JSON, multimedia) and Big Data operations (e.g., matrix operations)
- 541 5. Domain-specific languages
- 542 6. Semantics of eventual consistency
- 543 7. Advanced network protocols for efficient data transfer
- 544 8. General and domain specific ontologies and taxonomies for describing data semantics including
545 interoperation between ontologies
- 546 9. Big Data security and privacy access controls.
- 547 10. Remote, distributed, and federated analytics (taking the analytics to the data) including data and
548 processing resource discovery and data mining
- 549 11. Data sharing and exchange
- 550 12. Data storage (e.g., memory storage system, distributed file system, data warehouse)
- 551 13. Human consumption of the results of big data analysis (e.g., visualization)
- 552 14. Energy measurement for Big Data
- 553 15. Interface between relational (i.e., SQL) and non-relational (i.e., Not Only or No Structured Query
554 Language [NoSQL]) data stores
- 555 16. Big Data quality and veracity description and management

556 **6.3 PATHWAY TO ADDRESS STANDARDS GAPS**

557 Standards often evolve from implementation of best practices and approaches which are proven against
558 real world applications or from theory that is tuned to reflect additional variables and conditions
559 uncovered during implementation. In the case of Big Data, most standards are evolving from existing
560 standards modified to address the unique characteristics of Big Data. Like many terms that have come
561 into common usage in the current information age, Big Data has many possible meanings depending on
562 the context from which it is viewed. Big Data discussions are complicated by the lack of accepted
563 definitions, taxonomies, and common reference views. The products of the NBD-PWG are designed to
564 specifically address the lack of consistency. Recognizing this lack of a common framework on which to
565 build standards, ISO/IEC JTC1 has specifically chartered a working group, which will first focus on
566 developing common definitions and a reference architecture. Once established, the definitions and
567 reference architecture will form the basis for evolution of existing standards to meet the unique needs of
568 Big Data and evaluation of existing implementations and practices as candidates for new Big Data related
569 standards. In the first case, existing standards efforts may address these gaps by either expanding or
570 adding to the existing standard to accommodate Big Data characteristics or developing Big Data unique
571 profiles within the framework of the existing standards. The exponential growth of data is already
572 resulting in the development of new theories addressing topics from synchronization of data across large
573 distributed computing environments to addressing consistency in high volume and velocity environments.
574 As actual implementations of technologies are proven, reference implementations will evolve based on
575 community accepted open source efforts.

576

577 **Acronyms A: Acronyms**

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579

580	AMQP	Advanced Message Queuing Protocol
581	ANSI	American National Standards Institute
582	API	application programming interface
583	AVDL	Application Vulnerability Description Language
584	BDAP	Big Data Application Provider component
585	BDFP	Big Data Framework Provider component
586	BIAS	Biometric Identity Assurance Services
587	CGM	Computer Graphics Metafile
588	CIA	confidentiality, integrity, and availability
589	CMIS	Content Management Interoperability Services
590	CPR	Capability Provider Requirements
591	DC	Data Consumer component
592	DCAT	Data Catalog Vocabulary
593	DCR	Data Consumer Requirements
594	DOM	Document Object Model
595	DP	Data Provider component
596	DSML	Directory Services Markup Language
597	DSR	Data Source Requirements
598	DSS	Digital Signature Service
599	EPP	Extensible Provisioning Protocol
600	EXI	Efficient XML Interchange
601	GeoXACML	Geospatial eXtensible Access Control Markup Language
602	GML	Geography Markup Language
603	GRC	governance, risk management, and compliance
604	HTML	HyperText Markup Language
605	IEC	International Electrotechnical Commission
606	IEEE	Institute of Electrical and Electronics Engineers
607	IETF	Internet Engineering Task Force
608	INCITS	International Committee for Information Technology Standards
609	ISO	International Organization for Standardization
610	IT	information technology

611	ITL	Information Technology Laboratory
612	ITS	Internationalization Tag Set
613	JPEG	Joint Photographic Experts Group
614	JSON	JavaScript Object Notation
615	JSR	Java Specification Request
616	JTC1	Joint Technical Committee 1
617	LMR	Lifecycle Management Requirements
618	M	Management Fabric
619	MFI	Metamodel Framework for Interoperability
620	MOWS	Management of Web Services
621	MPEG	Moving Picture Experts Group
622	MQTT	Message Queuing Telemetry Transport
623	MUWS	Management Using Web Services
624	MUWS	Management Using Web Services
625	NARA	National Archives and Records Administration
626	NASA	National Aeronautics and Space Administration
627	NBD-PWG	NIST Big Data Public Working Group
628	NCAP	Network Capable Application Processor
629	netCDF	network Common Data Form
630	NIST	National Institute of Standards and Technology
631	NoSQL	Not Only or No Structured Query Language
632	NSF	National Science Foundation
633	OASIS	Organization for the Advancement of Structured Information Standards
634	OData	Open Data
635	ODMS	On Demand Model Selection
636	OGC	Open Geospatial Consortium
637	OpenMI	Open Modelling Interface Standard
638	OR	Other Requirements
639	OWS Context	Web Services Context Document
640	P3P	Platform for Privacy Preferences Project
641	PICS	Platform for Internet Content Selection
642	POWDER	Protocol for Web Description Resources
643	RDF	Resource Description Framework
644	RFID	radio frequency identification
645	RIF	Rule Interchange Format

646	S&P	Security and Privacy Fabric
647	SAF	Symptoms Automation Framework
648	SAML	Security Assertion Markup Language
649	SDOs	standards development organizations
650	SFA	Simple Features Access
651	SKOS	Simple Knowledge Organization System Reference
652	SLAs	service-level agreements
653	SML	Service Modeling Language
654	SNMP	Simple Network Management Protocol
655	SO	System Orchestrator component
656	SOAP	Simple Object Access Protocol
657	SPR	Security and Privacy Requirements
658	SQL	Structured Query Language
659	SWE	Sensor Web Enablement
660	SWS	Search Web Services
661	TEDS	Transducer Electronic Data Sheet
662	TJS	Table Joining Service
663	TPR	Transformation Provider Requirements
664	TR	Technical Report
665	UBL	Universal Business Language
666	UDDI	Universal Description, Discovery and Integration
667	UDP	User Datagram Protocol
668	UIMA	Unstructured Information Management Architecture
669	UOML	Unstructured Operation Markup Language
670	W3C	World Wide Web Consortium
671	WCPS	Web Coverage Processing Service Interface
672	WCS	Web Coverage Service
673	WFS	Web Feature Service
674	WMS	Web Map Service
675	WPS	Web Processing Service
676	WS-BPEL	Web Services Business Process Execution Language
677	WS-Discovery	Web Services Dynamic Discovery
678	WSDL	Web Services Description Language
679	WSDM	Web Services Distributed Management
680	WS-Federation	Web Services Federation Language

681	WSN	Web Services Notification
682	XACML	eXtensible Access Control Markup Language
683	XDM	XPath Data Model
684	X-KISS	XML Key Information Service Specification
685	XKMS	XML Key Management Specification
686	X-KRSS	XML Key Registration Service Specification
687	XMI	XML Metadata Interchange
688	XML	Extensible Markup Language
689	XSLT	XSL Transformations
690		

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691 **Appendix B: References**

692 **GENERAL RESOURCES**

- 693 Institute of Electrical and Electronics Engineers (IEEE). <https://www.ieee.org/index.html>
- 694 International Committee for Information Technology Standards (INCITS). <http://www.incits.org/>
- 695 International Electrotechnical Commission (IEC). <http://www.iec.ch/>
- 696 International Organization for Standardization (ISO). <http://www.iso.org/iso/home.html>
- 697 Open Geospatial Consortium (OGC). <http://www.opengeospatial.org/>
- 698 Open Grid Forum (OGF). <https://www.ogf.org/ogf/doku.php>
- 699 Organization for the Advancement of Structured Information Standards (OASIS). [https://www.oasis-](https://www.oasis-open.org/)
700 [open.org/](https://www.oasis-open.org/)
- 701 World Wide Web Consortium (W3C). <http://www.w3.org/>

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703 **DOCUMENT REFERENCES**

¹ The White House Office of Science and Technology Policy, “Big Data is a Big Deal,” *OSTP Blog*, accessed February 21, 2014, <http://www.whitehouse.gov/blog/2012/03/29/big-data-big-deal>.

² Cloud Security Alliance, *Expanded Top Ten Big Data Security and Privacy Challenges*, April 2013. https://downloads.cloudsecurityalliance.org/initiatives/bdwg/Expanded_Top_Ten_Big_Data_Security_and_Privacy_Challenges.pdf

³ “Big Data, Preliminary Report 2014”, ISO/IEC JTC1: Information Technology. http://www.iso.org/iso/big_data_report-jtc1.pdf. (Accessed March 2, 2015). Pages 21-23.